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JC961 U.S. PTO

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Patent  
Attorney Docket: 272/090

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 272/090  
First Named Inventor: THORNTON  
Prior Application Information:  
Serial No. 09/574,289,  
Examiner: K. T. TRUONG  
Art Unit: 3731

Commissioner of Patents  
BOX PATENT APPLICATION  
Washington, D. C. 20231

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation ☐ divisional ☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of co-pending prior application Serial No. 09/574,289,  
filed on May 19, 2000, by

Peter Thornton, entitled:

Intravascular Device for Venting an Inflatable Chamber

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

I. APPLICATION ELEMENTS ENCLOSED

- 9 Page(s) of Written Description
- 5 Page(s) of Claims
- 1 Page(s) of Abstract
- 6 Sheet(s) of Drawings ☐ formal ☒ informal
- 2 Page(s) of ☒ Declaration or ☐ Declaration and Power of Attorney
  - ☒ Copy from prior application [37 CFR §1.63(d)]
  - ☐ Newly executed
- 1 Other: Power of Attorney

CERTIFICATE OF MAILING  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to Box Patent Application, Commissioner of Patents, Washington, D.C. 20231.

EV051346553US  
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Charles A. Kertell  
Name of Person Mailing Paper

March 05, 2002  
Date of Deposit  
LA-229408.1

Charles A. Kertell  
Signature of Person Mailing Paper

JC978 U.S. PTO  
10/092651  
03/05/02  
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- ☒ Previously recorded assignment papers (notice of recordation, cover sheet, and assignment document.)
- ☒ A copy of the petition for extension of time in the **prior** application is attached.
- ☒ A Preliminary Amendment.
- ☒ An Information Disclosure Statement and PTO 1449.

## II. FEE CALCULATION

- ☐ Applicant claims small entity status under 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						\$740.00
Total Claims	26	-	20	=	6	x \$18.00 \$108.00
Independent Claims	2	-	3	=	0	x \$84.00 \$0.00
Multiple Dependent Claims	\$280	(if applicable)				<input type="checkbox"/> \$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$848.00
Reduction by ½ for Filing by Small Entity.						<input type="checkbox"/> \$0.00
Misc. Filing Fees (Recordation of Assignment)						\$0.00
<b>TOTAL FEES DUE HERewith</b>						\$848.00

## III. PRIORITY - 35 USC § 119

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in Country is claimed under 35 USC § 119.
- ☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.
- ☐ The certified copy will follow.

## IV. AMENDMENTS

- ☒ Cancel in this application original Claims 2-27 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

**V. RELATE BACK - 35 USC § 120**

☐ Relate back information included in preliminary amendment or specification.

☒ Please amend the specification as follows:

This application is a continuation of Application Serial No. 09/574,289, filed May 19, 2000, which is a continuation of Application Serial No. 09/370,674, filed August 9, 1999, now U.S. Patent No. 6,102,931.

☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:

☒ the same.

☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§ 1.33(b) AND 1.63(d)(2)]:

[Name(s) of inventor(s) to be deleted]

**VI. METHOD OF PAYMENT OF FEES**

☐ Attached is a check in the amount of \_\_\_\_\_.

☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$848.00.

☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

**VII. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. **12-2475** for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. **12-2475**:

☒ 37 CFR § 1.16 (Filing fees and excess claims fees)

☒ 37 CFR § 1.17 (Application processing fees)

☐ 37 CFR § 1.21 (Assignment recordation fees)

**VIII. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS**

☒ The power appears in the original papers in the prior application.

☐ The power does not appear in the original papers, but was filed on \_\_\_\_\_ in prior application Serial No. \_\_\_\_\_.

☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 22249:



LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(213) 489-1600

Please direct all inquiries to John C. Kappos, phone number (949) 567-2300, at the above Customer Number.

**IX. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☒ A petition, fee, and response has been filed to extend the term in the pending **prior** application until March 5, 2002. A copy of the petition for extension of time in the **prior** application is attached.
- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

**X. ABANDONMENT OF PRIOR APPLICATION**

- ☒ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

LYON & LYON LLP

Dated: March 5, 2002

By: Charles A. Kertell

Charles A. Kertell  
Reg. No. 41,891

Enclosures

EMBOL-X, INC.

Name of Assignee

645 Clyde Avenue, Mountain View, CA 94043-2213

Address of Assignee

Yue-Teh Jang, President and Chief Executive Officer

Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO on August 9, 1999, Reel 010171, Frame 0818

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Peter Thornton

Serial No.: 09/574,289

Filed: May 19, 2000

For: Intravascular Device for Venting an  
Inflatable Chamber

Group Art Unit: 3731

Examiner: Truong, K.

COPY



REQUEST FOR EXTENSION OF TIME

Box FEE AMENDMENT  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
1 month	<input type="checkbox"/> \$55.00	<input type="checkbox"/> \$110.00
2 months	<input type="checkbox"/> \$200.00	<input checked="" type="checkbox"/> \$400.00
3 months	<input type="checkbox"/> \$460.00	<input type="checkbox"/> \$920.00
4 months	<input type="checkbox"/> \$720.00	<input type="checkbox"/> \$1,440.00
5 months	<input type="checkbox"/> \$980.00	<input type="checkbox"/> \$1,960.00

Fee Due: \$400.00

CERTIFICATE OF MAILING  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to Box Fee Amendment, Commissioner of Patents, Washington, D.C. 20231.

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March 5, 2002  
Date of Deposit  
LA-229445.1

Charles A. Kertell  
Name of Person Mailing Paper

Charles A. Kertell  
Signature of Person Mailing Paper

COPY

Patent  
Attorney Docket: 253/054

- ☒ If an additional extension of time is required, please consider this a petition therefor.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$400.00**.
- ☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any additional fee that may be required and to credit any overpayments to said Deposit Account **12-2475**.

A final Office Action was mailed in connection with the above-identified application on October 5, 2001. This request for an extension of time is filed to enable the filing of a Continuation Application under 37 CFR § 1.53(b).

Please direct all telephone calls to John C. Kappos at (949) 567-2300.

Respectfully submitted,

LYON & LYON LLP

Dated: March 5, 2002

By: Charles A. Kertell  
Charles A. Kertell  
Reg. No. 41,891

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(213) 489-1600